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I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

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07278

PATENT TRADEMARK OFFICE

Docket No.: 2427/1F509US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alessandra D'AZZO, et al.

Serial No.: 10/014,774

Confirmation No.:

Filed: October 29, 2001

For: PROTEIN SPECIFIC FOR CARDIAC AND SKELETAL MUSCLE

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested

that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith a copy of a Search Report issued April 29, 1999 for the PCT counterpart of the present patent application (Application No. PCT/US00/11900), in which some of the presently disclosed references were cited. Since the Search Report utilizes conventional codes to characterize each cited reference, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 37 C.F.R. 1.98.

This submission is filed within three months of the filing of the application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No

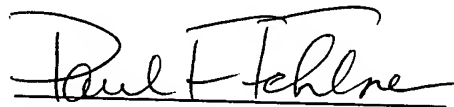
representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge the above deposit account for any deficiency.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: January 28, 2002

A handwritten signature in dark ink, appearing to read "Paul F. Fehlner", written over a horizontal line.

Paul F. Fehlner, Ph.D.  
Registration No. 35,135  
Attorney for Applicant(s)

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FORM PTO-1419

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

SHEET 1 OF 2  
(REV. 7-80)**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 2427/1F509US1 SERIAL NO: 10/014,774  
APPLICANT: Alessandra D'AZZO FILING DATE: October 29, 2001  
CONFIRMATION NO:

**U.S. PATENT DOCUMENTS**

<u>*EXAMINER</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>FILING DATE</u>
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**FOREIGN PATENT DOCUMENTS**

<u>*EXAMINER</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION</u> <u>YES</u> <u>NO</u>
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**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**\*EXAMINER  
INITIALS

1. Nakamura et al., Oncogene, 1998; 16(8):1009-1019
2. Price et al., EMBO J., 1993; 12(6):2411-2418
3. Hartenstein et al., Development, 1992; 116(4):1203-1220
4. Bridgeman, A., EMBL Database, Accession Number HS337018, 27 April 1997, XP002145228
5. Luo et al., Mol. Cell. Biol., 1997; 17(10):6057-6067
6. Okazaki et al., The Journal of Biological Chemistry, 1996; 271(36):22052-22057
7. Lee, N.H., "est189522", EMBL Database, Accession Number AA800025, 11 February 1998, XP002145237
8. Strausberg, R., "est," EMBL Database, Accession Number AI589199, 26 April 1999, XP002145238
9. Rottier, et al., DNA and Cell Biology, 1997; 16(5):599-610
10. Hilton et al., Proc. Natl. Acad. Sci. USA, 1998; 95:114-119

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**\*EXAMINER  
INITIALS**

11. Starr et al., Nature, 1997; 387:917-921

12. Johnston et al., The Journal of Cell Biology, 1998; 143(7):1883-1898

13. Iwai et al., PNAS, 1999; 96(22):12436-12441

EXAMINER: \_\_\_\_\_

DATE CONSIDERED: \_\_\_\_\_

**\*EXAMINER:**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.